

# Comparative Study of Carbon Nanotube Based Thin Film Transistors on Flexible Substrates

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**Abstract-** A review of fabricated carbon nanotube based thin film transistors (CNTTFTs) on different substrate materials and the fabrication techniques for thin film transistors on flexible substrates are presented. The performance parameters of CNTTFTs reported by different groups are also presented. The CNTTFTs exhibited the extreme bendability without much change in the electrical performance. The fabricated single walled carbon nanotube based thin film transistors (SW CNTTFTs) on flexible substrates are compared based on their on/off current ratio and mobility are also discussed.

**Index Terms-** CNTTFTs, SW CNTTFTs, flexible, On/Off current ratio, mobility, substrates, bendability.

## 1. INTRODUCTION

The revolutionary advances have been taken place in VLSI industry in the field of materials and devices. The new materials are replacing silicon in the field of flexible electronics. The flexible electronics uses thin film transistors (TFTs). The performance limitations of organic thin film transistors (OTTFTs) are low charge carrier density and processing related difficulties due to their insoluble nature under ambient conditions [1, 2, 3, 4]. Thin film transistors using amorphous silicon have disadvantages like high temperature process and reduced electron mobility. Lesser mobility reduces the operation frequency of the device to few KHz and the device cannot be used for high frequency. The carbon nano tubes (CNTs) are the new materials which are used in the field of flexible electronics. The CNTs are small graphite sheets rolled into a seamless cylinder. Nano tubes can be of two types, single walled and multi walled. Single walled carbon nanotubes (SWCNTs) consist of a single layer whereas multi-walled nanotubes consist of several cylindrical concentric layers wrapped around each other. The diameter and its helicity decide whether nano tubes are metallic or semiconducting. The diameter of the tube also decides the energy band gap of the carbon nano tube. Carbon nanotubes have largest strength to weight ratios of any material. The thin film transistors using single walled carbon nanotubes as the channel material are preferred because of their excellent electrical and mechanical properties. The field effect mobility of  $79000 \text{ cm}^2/\text{Vs}$  was reported in the FETs based on individual CNTs [5]. However the mobility of TFT using SWCNTs is less due to the multiple CNT-CNT contacts in the networks. The mobility in CNT TFTs is in the order  $10\text{-}100 \text{ cm}^2/\text{Vs}$  [6]. CNT based flexible FETs are capable of achieving high speed operation compared organic TFTs [7, 8, 9]. The performance parameters such as on current, on/off current ratio, transconductance  $g_m$  of TFTs depend on the density of

SWCNTs. The film of SWCNTs on non-treated surface has low density of SWCNTs. In order to improve the performance of TFTs and to increase the density of SWCNTs [10, 11] surfaces are treated with adhesives. Single-walled carbon nanotubes are deposited on the Si surface to increase the nanotube density. TFTs with sorted semiconducting SWCNTs of different purity are fabricated [4, 12, 13, 14, 15]. The SWCNTs thin film is deposited on a modified Si/SiO<sub>2</sub> surface.

SWCNTs based flexible thin film transistors fabrication techniques, transistor performance on different flexible substrates are compared. The carbon nanotube thin film transistors (CNTTFTs) on flexible substrates are more widely used in stretchable, flexible electronics like E-textiles, finger print scanners, X-ray imaging, light weight display, photovoltaic, electronic tags, solid state lighting, and intelligent smart cards. The review of flexible TFTs is based on substrate materials, fabrication techniques, transistor performance is done.

## 2. FABRICATION TECHNIQUES FOR THIN FILM TRANSISTORS ON FLEXIBLE SUBSTRATES

The fabrication of single walled carbon nanotube based thin film transistors (SW CNTTFTs) on flexible substrates can be categorized into three types i.e. solid phase, liquid phase and gas phase fabrications [16-23] based on the formation of the SWCNTs thin films on the flexible substrates.

### 2.1. Solid phase fabrication

The SWCNTs are deposited on a solid or rigid wafer and transferred on to a flexible substrate. Prior to the SWCNTs transfer, flexible substrate was pre-patterned with gate electrodes followed by source and drain electrodes. Fig. 1(a) - (e) shows the fabrication of fully transparent aligned SWCNT transistors. In [17] Nanotubes were first grown on quartz substrates and then transferred to prepatterned glass or polyethylene

terephthalate (PET) substrates. The PET substrate was prepatterned with indium tin oxide (ITO) gate electrodes, followed by patterning of transparent source and drain electrodes. Device dimensions are limited by the size of the rigid surface.

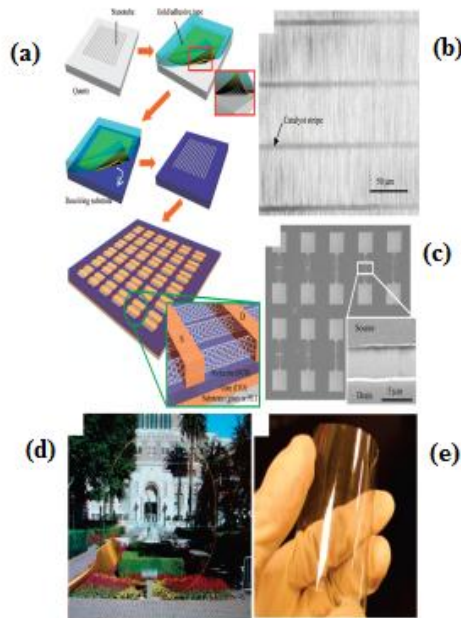


Fig.1. Fabrication of fully transparent aligned SWCNT transistors [17] : (a) Schematic diagram of aligned SWCNT transfer and a device structure consisting of a substrate (glass or PET), ITO as back gate, SU8 as dielectric, aligned SWCNTs as channel, and ITO as source and drain. (b) Scanning electron microscopy (SEM) image of transferred aligned SWCNTs on SU8 on a glass substrate. (c) SEM image of devices showing the ITO source and drain electrodes fabricated on glass. Inset: SEM image of aligned nanotubes bridging ITO electrodes. (d) Optical micrograph of fully transparent aligned SWCNT transistors on a 4 inch glass wafer. (e) Optical micrograph of fully transparent aligned SWCNT transistors on a PET sheet of 3 inch x 4 inch.

In [18] the chemical vapor deposition (CVD) method was used to synthesize CNT thin film on silicon dioxide-silicon wafers. Using optically soft lithography technique the SWCNT thin film is etched into strips. The source and drain electrodes and the isolation of each device were patterned using standard photolithography, electron beam evaporation, gold wet chemical etching and oxygen plasma etching. The predefined source and drain electrodes and SWCNT networks on the growth wafers for transfer to a polyimide substrate coated with liquid polyurethane were encapsulated using polyamic acid. The curing of the liquid polyurethane and polyamic acid

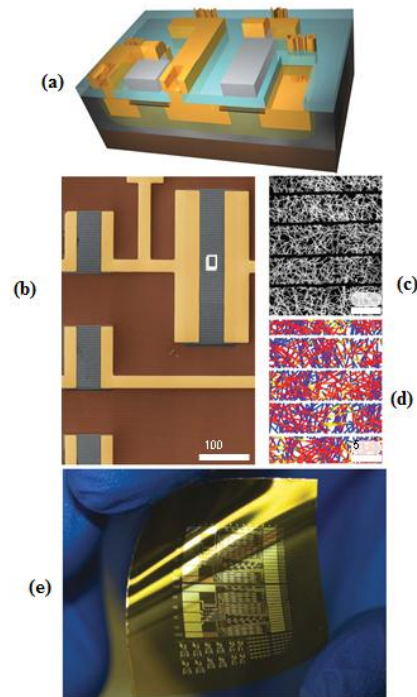


Fig.2. Illustration, scanning electron microscope images, theoretical modeling results and photographs of flexible SWCNT integrated circuits on plastic [18] : (a) Cross-sectional diagram of a SWCNT P-metal oxide semiconductor (PMOS) inverter on a PI substrate. (b) Scanning electron microscope image of part of the SWCNT circuit, made before deposition of the gate dielectric, gate or gate-level interconnects. The source–drain electrodes (gold) and substrates (brown) had been colorized to highlight the SWCNT network strips (black and grey) that form the semiconductor. (c) Magnified view of the network strips corresponding to a region of the device channel highlighted with the white box in b. (d) Theoretical modeling results for the normalized current distribution in the on-state of the device (view as in c), where color indicates current density (yellow, high; red, medium; blue, low). (e) Photograph of a collection of SWCNT transistors and circuits on a thin sheet of plastic (PI).

completes the transfer process subsequently. After deposition of high capacitance dielectric layer of hafnium dioxide the metal gate was formed. Vias and windows were provided for probing by wet etching through patterned photoresist and the metal interconnection were carried out and then four bit decoder was designed. The explained process is given in the Fig. 2 (a) - (e).

The floating catalyst (aerosol)-chemical vapor deposition (FC-CVD) techniques were used to continuously grow carbon nanotubes [19] in atmospheric pressure by feeding a carbon source gas with a catalyst precursor. At room temperature nanotubes are collected on a membrane filter for short time between 1 and 5 sec. The filter was dissolved in acetone, and as-grown nanotubes are transferred from

the filter to the substrate on which the TFT electrodes are prepared. The nanotubes located outside the channel areas are removed by oxygen plasma treatment. The explained process is given in the Fig. 3(a) - (e).

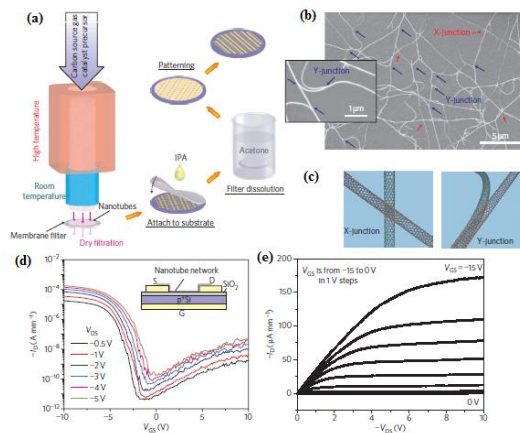


Fig.3. Carbon nanotube growth and device fabrication [19]: (a) Schematic of carbon nanotube growth, collection by filter, transfer and patterning. (b) SEM image of carbon nanotube film transferred onto a Si/SiO<sub>2</sub> substrate. Carbon nanotube collection time, 2 s. Inset: magnified view of Y-junctions. The red and blue arrows indicate X- and Y-junctions, respectively. (c) Schematics of X- and Y-junctions. (d) Transfer ( $I_D$  V/S  $V_{GS}$ ) Characteristics at various drain to source voltage ( $V_{DS}$ ) values ranging from 20.5 to 25 V. Channel length ( $L$ )= width ( $W$ )=100  $\mu$ m. Inset: schematics of the bottom-gate carbon nanotube TFT on a silicon(Si) /SiO<sub>2</sub> substrate. (e) Output (drain current ( $I_D$  ) V/S  $V_{DS}$ ) characteristics of the same device exhibiting saturation behavior.

### 2.2 Liquid Phase Fabrication

SWCNT solution is prepared and deposited on a flexible substrate by spin coating, dip-coating [20], ink jet printing [21, 22] /gravure printing, spray coating [23, 24] etc. to form a thin film. Subsequent processes of patterning of gate electrodes, source and drain electrodes are carried out.

In the dip-coating process and fabrication [20] the optical lithography was used to define the micro channel on silicon substrate, modified parylene-c as adhesive layer. The silicon substrate with parylene-c was dipped into aqueous SWCNT solution which was terminated with carboxylic acid group and pulled up slowly. The SWCNTs adhering to the surface of the parylene-c formed stripe structure as shown in [20]. Peel-off the flexible parylene-c SWCNT film from the substrate. sub-sections and sub-subsections are numbered in *Italic*. Use double spacing before all section headings and single spacing after section headings.

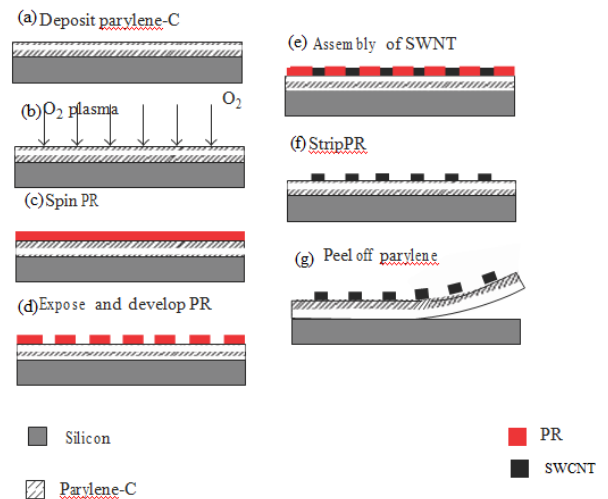


Fig.4. Schematic drawing of the patterning of SWCNTs onto a flexible substrate using dip-coating [20].

The Fig. 4(a) - (g) show the process flow diagram of the direct patterning of SWCNTs on to a flexible substrate. The parylene-c film hydrophobic surface was changed to hydrophilic by disposing the parylene film by O<sub>2</sub> Plasma for 30 sec. and the micro channel was defined by optical lithography. The chip was dipped into aqueous SWCNT solution and pulled it up slowly with a speed of 0.1mm/min. Photo resist was removed by acetone after completing dip- coating.

High mobility carbon nano tube thin film transistors were fabricated on a polymeric substrate by E.S.Snow et al. [25].The devices fabricated, exhibited field effect mobility of 1500 cm<sup>2</sup>/Vs and normalized transconductance of 0.5 ms /mm. The ratio of on-current to off-current achieved was 100. Layer of polyimide of 10  $\mu$ m thickness was spin coated on to a silicon wafer and cured in nitrogen ambient in stages of 100°C steps for 1 hour each to a maximum temperature of 300°C Electron beam deposition and lift-off was used to form metal fingers and contact pads on the polyimide surface of 25 nm thickness. Electron beam evaporation and lift-off of fused silica was used to cover gate metal fingers with 100 nm-thick gate dielectric pads of silicon oxide. The wafer was soaked for one hour in a solution of 3-aminopropyl triethoxysilane. The wafer was blown dry and then soaked in a prepared solution of SWCNTs. The wafer was removed from the SWCNTs solution and blown dry when a continuous nanotube network has formed. The entire wafer was coated with the SWCNTs network. The residual sodium dodecyl sulphate (SDS) was removed by soaking the wafer in de-ionized (DI) water for at least one hour. The 100 nm of Titanium source-drain contact pads were formed by electron beam evaporation and lift-off. The removal of the unwanted SWCNTs was done by protecting the active area of the devices by the patterns of the photoresist and then commercial snow jet from Applied Surface Technologies was used. Fig.5 shows an optical image of an array of SWCNT TFTs on a polyimide substrate. The lower inset shows

the same film supported by a glass substrate. The upper inset is a magnified image of an individual TFT.

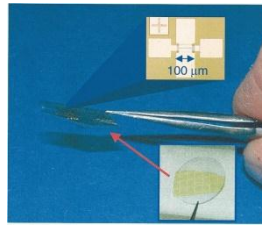


Fig.5. An optical image of fabricated SW CNTTFTs on a polyimide film of thickness 10 μm [25].

The demonstration of fully printed, top gated TFTs was done [26, 27, 28]. The 99% semiconductor purity nanotubes were used. The scalable inverse gravure printing process [27] was used printing registration accuracy of ±10 μm. source, drain and gate silver metal electrodes were processed using multi step printing and also inorganic/organic high-k gate

dielectric on PET substrate. The devices exhibits hole mobility of up to 9 cm<sup>2</sup>/Vs and on/off current ratio of 10<sup>5</sup>. Fig.6 (a) shows the processing steps for fabricating fully printed SW CNTTFTs on a PET substrate. Fig. 6(b) shows the Scanning Electron Microscope of a SWCNT network deposited on a PET substrate.

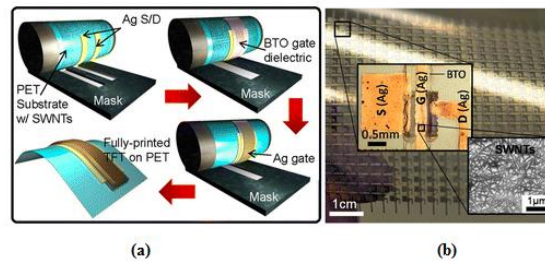


Fig. 6. Printing process [27]: (a) Schematic diagram showing the printing process scheme. (b) SEM image of a SWCNT network deposited on a PET substrate.

This method has reduced energy consumption during manufacturing, reduced cost of production. It can be used for mass production.

### 2.3 Gas Phase Fabrication

The CNT thin film is directly transferred on to the substrate after it is formed in a gas-phase [29, 30] environment. The contamination occurs in liquid phase or in solid phase fabrication in transferring process. The dry process of fabrication of CNTFTs [30] was demonstrated by M.Y Zavodchikova et al. The fabrication of CNTTFTs on flexible substrates was done by directly transferring CNT thin films which were synthesized by floating catalyst method on to the substrate. The fabrication of high performance TFTs and integrated circuits on flexible and transparent substrates using floating catalyst CVD followed by simple gas phase filtration and transfer process [29]. The unique morphology of CNT network was reported when compared to CNTs prepared by solution based technique.

### 3. COMPARATIVE STUDY OF CARBON NANOTUBE BASED THIN FILM TRANSISTORS ON FLEXIBLE SUBSTRATES

The comparison of CNTFTs on flexible substrates were done on based on the performance parameters like on-current, on/off current ratio, mobility, trans conductance and type of the oxide, purity of SWCNTs. The table 1 gives the comparison based on the flexible substrates used, device dimensions, purity of SWCNTs, gate oxide used, on/off current ratio, mobility, contact metal used, transconductance of the 13 CNTTFTs fabricated by different groups.

NR – Not reported, PI - Polyimide, PET - Polyethylene terephthalate, PEN - Polyethylene naphthalate, PU - Polyurethane, PMMA - Poly methyl methacrylate

Table 1.Comparison of TFTs implemented on flexible substrates.

Sl. No.	Flexible substrate used	Width/Length ( $\mu\text{m}/\mu\text{m}$ )	Purity of CNTs (%)	Gate oxide used	On/off current ratio	Mobility ( $\text{cm}^2/\text{Vs}$ )	Contact metal used	Trans conductance $g_m$	References
1.	PI	130/7	NR	$\text{SiO}_2$	100	150	Ti	0.5 mS/mm	[25]
2.	PI, PU	5/100	NR	$\text{HfO}_2$	$>10^5$	80	Gold	0.12 $\mu\text{S}/\mu\text{m}$	[32]
3.	PET	200/50	95	Epoxy and $\text{SiO}_2$	$10^2$	2	Graphene films on Ni	NR	[40]
4.	PEN	10/55	NR	$\text{Al}_2\text{O}_3$	$10^5$	5	Au	NR	[41]
5.	PEN	100/100	NR	PMMA	$1.5 \times 10^5$	1027	carbon	NR	[31]
6.	PI	NR	95	$\text{Al}_2\text{O}_3$	$10^5$	10-35	Ti over Au	NR	[42]
7.	PET	NR	80-90%	$\text{Al}_2\text{O}_3$ / PMMA bilayer	$10^3$	1-33	CNTs	NR	[33]
8.	PEN	130/115	NR	PI	$10^4$	157	Inks of silver nano particles	NR	[31]
9.	PET	NR	NR	NR	$4 \times 10^3$	1.5	Copper over silver	NR	[43]
10.	PET	1250/8.5	99	Inorganic /organic high $k=17$	$10^5$	9	Ag	3.35 $\mu\text{S}/\text{nm}$	[27]
11.	PI	200/100	NR	NR	$10^4$	60	Pd	NR	[44]
12.	NR	3/8	99	NR	$2 \times 10^3$	40.7	NR	NR	[45]

The flexible substrates like Polyimide (PI), PET, Polyethylene naphthalate (PEN) were used. The gate oxides like silicon dioxide ( $\text{SiO}_2$ ), hafnium dioxide ( $\text{HfO}_2$ ), aluminium trioxide ( $\text{Al}_2\text{O}_3$ ),  $\text{Al}_2\text{O}_3$ /Poly methyl methacrylate (PMMA) bilayer, inorganic/organic high- $k=17$  materials were used. The SWCNTs of 95%, 98%, 99% semiconducting purity were used. The contact metals like Ti, gold, graphene films on nickel, Ti over Au, Ti over Pd, copper over silver, silver were used for source and drain contacts.

The high mobility of  $157 \text{ cm}^2 / \text{Vs}$  was achieved [31] with on/off current ratio of 104 from the fabrication of bottom gate CNTTFT with channel length and channel width of  $115 \mu\text{m}$  and  $130 \mu\text{m}$  respectively on PEN film using flexographic printing process. PMMA of  $4.1 \mu\text{m}$  thickness was used as gate oxide. The source and drain electrodes were formed by Ag nano particle ink.

The high on/off current ratio of  $6 \times 10^6$  was achieved [19] with mobility of  $35 \text{ cm}^2 / \text{Vs}$  from the fabrication of bottom gate CNTTFT with channel length and channel width of  $100 \mu\text{m}$ . The substrate used was PEN. The gate formed was bottom gate. The  $\text{SiO}_2$  was used as a gate dielectric. For source and drain, Ti/Au metal electrodes were used and fabricated using standard photolithography, electron-beam evaporation and lift-off processes.

Mechanical flexibility of the devices on PET substrate was tested by bending down the substrate to different radii along the channel length and electrically measured. The noticeable degradation of electrical performance was not observed when severely bent in TFTs operation. The results of the bending tests done on the fabricated CNTTFTs on the flexible substrates are compared and are listed in table 2. SWCNTs used as channel can be bent and there is a small change in the electrical performance of the devices [17,27,30,32,33,34,35,36,37,38,39].

In the work [40] the graphenes were used as electrodes, only small changes in  $\mu/\mu_0$  is observed for the range of strains up to 2.2%. The thing observed from the work [35] the limit for bending is the practical bendability. CNTTFTs on flexible substrates are limited by oxides, source and drain, gate electrodes and the mechanical properties of the flexible substrates.

Table 2: Comparison of the bending tests done on the CNTTFTs fabricated on the flexible substrates.

Sl.No.	Substrate	W/L (µm/µm)	SWCNTs Purity (%)	Variation upon bent	Bent radius (mm)	$I_{ON}/I_{OFF}$ (µA/µA)	$\mu_{carr}$ (cm <sup>2</sup> /Vs)	References
1.	PI	300/100	99%	No much change	NR	20	NR	[34]
2.	PES	NR	HiPCo	No much change	NR	$>10^2$	150	[35]
3.	PET	100/30	NR	No much change	NR	$3 \times 10^4$	1300	[17]
4.	PI	5/50	NR	No much change	5	$10^5$	80	[32]
5.	PC	NR	NR	No much change	1.5	$10^3$	55	[36]
6.	PET	200/25	95%	Deformation	4.25	$10^2$	2	[40]
7.	PET	100/10	NR	No much change	NR	$10^3$	1-33	[33]
8.	PET	125/85	99%	No much change	1	$10^5$	9	[27]
9.	PET	1000/1005	100	No much change	NR	$10^5$	7	[38]
10.	PET	100/100	NR	No much change	7.8	$10^5$	1027	[30]

NR - Not reported, PC - Parylene C, PES - Polyether sulphone, HiPCo - Hi pressure carbon monoxide

#### 4. CONCLUSION

We have reviewed the carbon nano tube based TFTs on different substrates. The disadvantages of organic thin film transistors and amorphous silicon thin film transistors have been discussed. The fabrication techniques for carbon nanotube thin film transistors on flexible substrates were also reviewed. The performance comparison of fabricated carbon nano tube based thin film transistors on flexible substrates was discussed. The CNTTFTs fabricated on flexible substrates exhibits good electrical performance with the extreme bendability of the substrate. The low cost and temperature, fabrication methods for the mass production of high performance CNTTFTs on flexible substrates are the areas in which the researchers have to concentrate. The repeatable characteristics of flexible CNTTFTs are desired, to use these fabricated devices in high-performance flexible electronics applications.

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